

CITY OF ATLANTA

OFFICE OF CONTRACT COMPLIANCE 55 TRINITY AVENUE, SW, SUITE 1700 ATLANTA, GEORGIA, 30303 OFFICE (404) 330-6010 FAX (404) 658-7359

THE EQUAL BUSINESS OPPORTUNITY PROGRAM CERTIFICATION AFFIDAVIT

SOLE PROPRIETOR

Dear Prospective Minority and/or Female Business Enterprise Applicant:

Thank you for your interest in becoming a certified participant in the City of Atlanta Equal Business Opportunity (EBO) Program as an African American Business Enterprise (AABE), a Female Business Enterprise (FBE), a Hispanic Business Enterprise (HBE), an Asian Business Enterprise (ABE) or a Native American Business Enterprise (NABE).

ALL questions on the certification application must be answered completely and ALL requested documentation must accompany the application. Submit the completed application and documentation to the Office of Contract Compliance. Failure to complete portions of the application and provide the required documentation will delay the certification process or result in denial of certification.

The information on the application must be true and accurate to the best of the applicant's knowledge. The application must be signed and notarized. The information requested is for use by the Office of Contract Compliance only and will be kept confidential to the extent allowable by law.

Your business must be located within one of the following ten county areas to be considered for certification in the City of Atlanta Equal Business Opportunity Program. The ten county area includes: Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry, Gwinnett, and Rockdale counties.

If your company is denied certification, you have the right to appeal the decision. You may file a notice of Appeal with the Director of Contract Compliance in writing within seven (7) business days of receipt of the denial letter. The Office of Contract Compliance bases its decisions on the City of Atlanta Code of Ordinances Section 2-1462. If you have any questions please contact the Office of Contract Compliance at (404) 330-6010.

DOCUMENTS TO BE SUBMITTED

Required Documents for All Applicants:
1. Bank Signature Card.
2. Proof of Minority or Female Status (birth certificate with Picture I.D. or Passport).
3. Copy of current Business License which shows that company is located in one of the
following
10 counties; Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry
Gwinnett,
Rockdale.
4. Current Resume of all principals of company showing Education, Training, Employment
experience with dates.
5. Provide copy of the lease, rental, or management agreement for business premises,
including local business telephone number.
6. Organizational Chart 7. Email Address
A. Additional Requirements for a Corporation
1. Previous two years Federal Corporate Tax returns including all schedules.
2. Certificate of Incorporation, and Articles of Incorporation, including Amendments.
3. Minutes of First Corporate Organizational meeting .
4. Minutes of any subsequent meeting during which changes in the ownership and/or
management of corporation are discussed.
5. Corporate By-Laws.
6. Copy of all stock certificates issued to date (include front and back sides of any canceled
or replaced certificates. (do not include a specimen copy.)
7. Stock-ledger.
8. If you are incorporated outside the State of Georgia, include a copy of the firms
Certificate of Authority to conduct business in the State of Georgia.
B. Additional Requirements for a General Partnership
1. Previous two years Federal Partnership Tax returns, Form 1065, including all
schedules
2. Partnership Agreement and Amendments which reflect change in ownership or profit
sharing.
3. Buy-out rights agreement (if separate)4. Profit Sharing agreement (if separate).
6. If Partnership was organized outside the State of Georgia, provide Certificate
of Authority to do business in Georgia.
of Audionty to do business in Georgia.
C. Additional Requirements for a Limited Partnership
1. Previous Two years Federal Partnership Tax returns, Form 1065, including all
schedules.
2. Partnership Agreement and Amendments which reflect change in ownership or profit
sharing.
3. Buy-out rights agreement (if separate)
4. Profit Sharing agreement (if separate)
5. Proof of capital invested (canceled checks, front and back).
6. Certificate of Limited Partnership

7. Certificate of Existence.
8. If Limited Partnership was organized outside the State of Georgia, provide
certificate of authority to do business in Georgia.
D. Additional Requirements for a Sole Proprietor
1. Previous two years Federal Tax returns including all schedules.
2. Equipment rental and purchase agreement (if applicable).
3. Proof of capital invested (canceled checks, front and back).
E. Additional Requirements for a Limited Liability Company
l. Copy of the Article of Organization and the Certification of Organization.
2. Copy of the Statement of Organizers.
3. Copy of the Operation Agreement and all Amendments thereof.
4. Proof of capital invested (canceled checks, front and back).
5. Prior two years of Federal Tax Returns of Limited Liability Company, including all
schedules.
6. If Limited Liability Company was organized outside the State of Georgia, provide
certificate of authority to do business in Georgia
7. Certificate of Existence.
8. If LLC is a conversion of another form of business - include Certificate of Election from
Georgia Secretary of State.

The EBO Affidavit and all supporting documents must be submitted together. All supporting documents relevant to your legal form of business enterprise (corporation, general partnership, limited partnership, sole proprietor or limited liability company) must also be submitted with the EBO Affidavit. Failure to submit all the required documentation will result in a delay in the processing or denial of certification of your business.

Please submit all completed documents bound with alphabetized tabs to:

City of Atlanta Office of Contract Compliance 55 Trinity Avenue, SW, Suite 1700 Atlanta, Georgia 30303-0321 Accounting Geotechnical Engineering

Advertising/Marketing Glass Services Airport Services Goods Supplies

Architecture Grading Asbestos Abatement Hauling Attorneys

Hazardous Material Management/Removal Audio Visual Services/Audio Visual Healthcare Services

Supplies **Heavy Construction**

HVAC Automotive Sales/Supplies/Services Hydraulics **Background Investigation** Banners/Tents Insulation

Bridges/Tunnels Insurance/Bonding **Business Consultant Interior Construction** Cable Services Interior Design CADD **Janitorial Services** Carpentry Janitorial Supplies

Catering Landscaping Mapping Chemicals City Planning/Urban Design Masonry

Medical Supplies Computer Services Computer Supplies Moving/Storage Services

Concessions Noise Abatement

Concrete/Paving Office Furniture/Office Supplies

Construction Management Painting/Wall Covering Construction Steel Parking Management

Construction Supplies Pest Control Counseling Photography

Pipelaying/Piping Courier/Mailing Services Data Processing Plumbing

Pressure Cleaning Debt Collection Printing/Graphics/Publishing Demolition

Development **Professional Training**

Drywall **Promotions Educational Services** Property Management

Public Relations Electrical Contracting Electrical Supplies Real Estate Recycling **Elevator Services Employment Services** Renovations

Engineering Retail Food **Environmental Consultant** Retail Goods & Services

Equipment Supplies Roofing

Erosion Control Security Services

Excavation Signage

Facilities Management Special Event Planning Stenography/Court Reporting Fencing

Film Production **Telecommunication Services**

Towing Services Financial Services Fire Protection Traffic Control

Flooring Transportation Services Food Supplies Trash Removal

Gas/Oil Uniforms

General Construction/General Contracting **Utilities Construction** Valet Parking
Vehicle Cleaning
Vending
Water Meter Service/Repair
Water/Sewer
Welding
As of November 13, 2002

EQUAL BUSINESS OPPORTUNITY (EBO) CERTIFICATION AFFIDAVIT FOR

Name of Enterprise				
City of Atlanta Project Pending?	yes	no	Bid Due Date:	
FC#	Name of	Project		

The information supplied herein by an authorized individual shall clearly identify and evidence the extent of minority and/or female ownership and control of this business enterprise.

All required supporting documents must be included, along with the signature of the authorized persons affixed where ever requested. This EBO Affidavit must be signed and notarized prior to evaluation by the Office of Contract Compliance.

*Note: All items on this EBO Affidavit must be completed and submitted to the Office of Contract Compliance at the same time.

Definitions:

City of Atlanta Ordinance Section 2-1443 sets out the definitions for "African American", "African American Business Enterprise" (AABE), "Asian American Business Enterprise" (ABE), "Bid", "Bidder", "Commercially Useful Function", "Controlled", "Eligible Project", "Female Business Enterprise", (FBE), "Hispanic Business Enterprise" (HBE), "Joint Venture", "Native American Business Enterprise", (NABE), "Minority Business Enterprise", (MBE).

"Minority Business Enterprise (MBE)": a business which is an independent and continuing operation for profit, performing a commercially useful function and which is owned and controlled by one or more minority group members, as defined in Section 2-1356, which group has been determined to have suffered discrimination requiring amelioration as defined in Section 2-1445(23), (24) and is certified as such by the city.

"Owned": the minority or female owner, shall possess an ownership interest of at least 51 percent of the business; such ownership shall be real and continuing and shall go beyond the mere indicia of ownership of the business reflected in the ownership documents; and the minority or female owner shall enjoy the customary incidents of ownership and shall share in the risks and profits commensurate with their ownership interests, as demonstrated by an examination of the substance, rather than the form of ownership arrangements.

"Controlled": the minority or female shall possess and exercise the legal authority and power to manage business assets, good will and daily operations of the business; and actively and continuously exercise such managerial authority and power in determining the policies an directing the operations of the business.

APPLICANT IS APPLYING FOR CERTIFICATION AS:

African American Business Enterprise(AABE)	Corporation
Female Business Enterprise (FBE)	Partnership
Hispanic Business Enterprise (HBE)	Sole Proprietor
Asian Business Enterprise (ABE)	Limited Partnership
Native American Business Enterprise (NABE)	Limited Liability Co.
Select from the business categories on the list included with three (3) specific areas under which your business should be Atlanta's EQUAL BUSINESS OPPORTUNITY REGISTER	± ±

In an effort to become certified for participation in the City of Atlanta's EQUAL BUSINESS OPPORTUNITY PROGRAM, affiant/applicant offers the following information as evidence of its qualifications:

1 1 ' '1 '	corporate officer is:	
	Title:	
The mailing address is:		
City:County:	State:	_Zip:
Telephone: ()	_Fax ()	
Pager: ()	_Mobile: ()	
Email Address:		
2.		
A. Is the principal, owner a citizen of the Unit	ed States?yes	no
B. If NO, is the principal, owner a lawful pern States?	nanent resident of th yes	
3.		
A. Previous certification or approval as an M	/FBE with the City o	
B. Previous certification or approval as an M/agency?	FBE with any other yes	
C. If you answered YES to any of the above que the respective certifications, approval letters of		

D. Denial of certification as an M/FBE by any governmental agency?

			_	yes _	no
E.	If YES, submit c	opy of denial do	cument.		
F.	F. Has there been participation and involvement by any of the principals in another firm wherein there has been a challenge, appeal or suspension of M/FBE certification by the City of Atlanta or any other governmental entity?				
				yes _	no
G.	the principal, (c enterprise filed) whether the acti a formal appeal, ne number) and (f	the name of the entrion was a suspension (e) the Name of the solution (e) the current status (e)	n, (d) whethe governmenta	er the al agency
	e there any licen ur enterprise?	ses or accreditatio	4. on's required to enga	age in the bu yes _	siness of no
TY	PE	ISSUED TO	ISSUED BY	DATE IS	SUED
			5.		
Th	e business was s [.] 19		nd/or acquired by its lowing manner:	s present owi	ners on

Bough	nt as existing busines	ssStarte	ed as new business			
Secure	ed Franchise	Merg	er or consolidation			
Other Manner; explain						
		6.				
	iously operated und address of the enter		ease provide the			
1		•				
		7.				
	rtners or principals o es, shareholders, dire		owners?			
If YES, they are:			_yesno			
Name of Person affiliated with another firm	Person's title at affiliated firm.	Name of affiliated firm.	Affiliated Firm Telephone Number			

The total amount of moneys and all items of any value owed to the enterprise by any and all firm principals and/or spouse(s) or family members of principals:

Title/Name	Reason for Debt	Amount of Debt	Date Issued/Due

9.

The total amount of moneys and all items of any value which the enterprise <u>owes</u> to any shareholder, partner, principal, officer or member of the applicant enterprise or any spouse or sibling of the applicant enterprise.

Reason for the Debt	Date Issued/Due
	Reason for the Debt

10.

The assets of the applicant/business, including real estate holdings, trade equipment, office furnishings and office equipment include:

Description of Asset	Real Dollar Value	Type of Lien/Encumbrance upon the Property

The name, title, sex and ethnic groups of the individuals of the business enterprise most responsible for:

Function	Name	Title	Sex	Ethnic Group
Determining				
what jobs the				
enterprise will				
undertake				
Project				
supervision				
Major				
Expenditures				
Hiring/Firing				
Personnel				
Preparing Job				
Estimates				
Submitting				
Quotations				
Reviewing Plans				
and/or				
Specifications				
Field Supervision				
_				
Project				
Coordination				
Equipment				
Rental				
Leasing				
Purchasing of				
Equip. and				
Supplies				
Marketing and				
Sales				
Securing				
Insurance				
Securing				
Bonding				
Securing				
Employee				
Benefits				
Signing Surety				
Bonds				
Signing Payroll				
Checks				

			-				
					is a S	OLE	PROPRIETOR
(Name of Busin	ness	Enterprise)				U	11011111
Name	Eth: Gro	nic oup/Sex	Sex		Home Addre		Date of Investment
				13.			
What persons, i	firm	s, or entities			nies to the So	ole Pı	roprietor?
Person/Firm		Amount		Reason f	for Loan	Conc	ditions/Terms
			1	14.		ı	
Is the Company	/ boi	nded?				_yes	no
				•	•		t of any Letter of
Credit, the issui	ıng ı	anking inst	itution, a	ina attac	n copy of bo	ma 16	etter.
Bonding Co./Add	ress	Bond Limit		Issuing 1	Bank		ar Value of ers of Credit

The Sole Proprietor's Primary Banking Institution is:

Name of Bank	Address/City	Contact Person	Checking Acc't. Number

The name and Title of the Person(s) whose signature is required to isue any checks for the payment of any and all expenses of the Sole Proprietor including payroll and operational expense are:

Name	Title	Type and # of Authorized Acct.	Number of accompanying signatures

16.

List the annual salaries, bonuses and commissions of the sole proprietor, including employees of the sole proprietor's staff/personnel during the past 12 months.

Name	Title	Salary	Bonus	Comm.	Defferred	Total
					Comm.	

			1	7.			
				.7 •			
Major equipr			l or owne	ed by th	e Sole Prop	rietor	for business
purposes is a Equipment		/leased or	Name of	Lessor	Lessor's Pho	ne	Initial and End
Туре	owned	reased of	Name of	Lessor	Number	TIC .	Date of Contract
			•				
			1				
			1	.8.			
Does the Sole	e Proprieto	or share o			another en	terpri	se?
	e Proprieto	or share (another en	terpri	se?no
If Yes:				ce with		yes	no
If Yes:		or share o				yes Rela	no
If Yes:				ce with		yes Rela App	no
If Yes:				ce with		yes Rela App	no ationship to alicant/
If Yes:				ce with		yes Rela App	no ationship to alicant/
If Yes:				ce with		yes Rela App	no ationship to alicant/
If Yes:				ce with		yes Rela App	no ationship to alicant/
If Yes:			office spa	ce with		yes Rela App	no ntionship to licant/
If Yes: Name of other	firm Ad	ldress	office spa	Type of	Space	yes Rela App Prin	no ationship to ciple
If Yes: Name of other:	firm Ad	ldress	office spa	Type of	Space	yes Rela App Prin	no ntionship to licant/
Does the Sole If Yes: Name of other What persons the Sole Prop	firm Ad	ldress	office spa	Type of	Space	yes Rela App Prin	no ationship to ciple
If Yes: Name of other:	firm Ad	ldress	office spa	Type of	Space	yes Rela App Prin	no ationship to ciple

A. Two (2) Current C Customer	Addres		Telephone
Description of Work	Performed:		
Customer	Addres	ss/City	Telephone
Description of Work	Performed:		
B. The Sole Proprieto performed as a PRIM SUBCONTRACT wo	IE CONTRACTOR	and has had the od firms:	ecasion to
C. The Sole Proprieto performed as a SUBC PERFORMED for the	CONTRACTOR wh		has .'s work was
Prime Contractor	Address, City	Telephone #	Contract Date

The undersigned does hereby swear or affirm that the statements contained in the EQUAL BUSINESS OPPORTUNITY CERTIFICATION AFFIDAVIT and all attachments herein which have been provided in support of the foregoing application for certification are true, accurate, complete and included all information necessary to identify and explain the ownership and operation of

Name of Business Enterprise

Further, the undersigned does covenant and agree to provide the City of Atlanta's Office of Contract Compliance with current, complete and accurate information regarding this Affidavit, its attachments or any other information deemed reasonably relevant to any project or contract issued by the City of the undersigned further agrees that as part of this certification procedure, OCC may freely contact any person or organization named in this application to verify statements made in this application and/or to secure additional information or data required to grant to or withhold from the applicant enterprise certification as a Minority-owned Business Enterprise or a Female Business Enterprise. The undersigned understands and agrees that failure to submit required materials and/or to consent to interview(s), audit(s) and/or examination(s) will be grounds for immediate rejection of this application for certification or re-certification. It is recognized and acknowledged that the statements contained in this application are being given under oath and that any material misrepresentation shall be construed and deemed to be subject to Section 106-90 of the City of Atlanta's Criminal Code of Ordinances in addition to being grounds for denial of certification or for decertification and may result in the denial of an award or the termination of contracts which may have been awarded as a result of the information contained in this application.

The undersigned further acknowledges that information contained n this application may be shared with any public department or agency so long as the sharing of such information is in reasonable furtherance of the OCC investigation. It is further understood that certification will be revoked if after proper investigation by OCC, the applicant is determined to be engaging in activities which circumvent the intent of the EBO Program.

PROHIBITIONS AGAINST FALSE AND FRAUDULENT REPRESENTATIONS TO THE CITY

Pursuant to Atlanta City Code Section 106-90, it shall be unlawful for any person, knowingly and willfully and with intent thereby to mislead either on such person's own behalf or on behalf of others, as principal or agent, to make or file orally or in writing any false representations of fact to any department of City government. The City will impose applicable penalties and sanctions against any person making such false representation in connection with the City's Equal

Business Opportunity Program. In addition, the City will seek all available remedies under Georgia and federal statutes against any person who knowingly, willfully or fraudulently attempts to obtain certification as a minority or female business enterprise.

ATTESTATION: I CERTIFY THAT ALL REPRESENTATIONS IN THIS CONTRACT EMPLOYMENT REPORT ARE CORRECT AS OF THE DATE STATED. THE UNDERSIGNED FURTHER ACKNOWLEDGES THAT CERTIFICATION IS NORMALLY REVIEWED EVERY TWO YEARS, HOWEVER, THE OFFICE OF CONTRACT COMPLIANCE RETAINS THE RIGHT TO RE-EVALUATE THE CONTENTS OF THIS APPLICATION AT ANYTIME. THE UNDERSIGNED ALSO SWEARS OR AFFIRMS THAT THE COPIES OF THE RECORDS WHICH ARE ATTACHED HERETO AND IDENTIFIED WITH ALPHABETIZED TABS ARE TRUE AND CORRECT COPIES OF THE BUSINESS RECORDS AS MAINTAINED BY THE UNDERSIGNED ON BEHALF OF

(Name of Enterprise)	
Name of Person Signing: (Print)	
Title of Person Signing: (Print)	
Signature:(Must match name of person signing)	
Sworn to and Subscribed Before Me, this Day of	
Notary Public (Must exhibit seal and stamp to be acceptable).	

CITY OF ATLANTA Contract Employment Report

PLEASE TYPE OR PRINT IN INK. EACH APPLICABLE ITIEM ON THIS FORM MUST BE COMPLETED. <u>INCOMPLETE FORMS WILL NOT BE PROCESSED.</u>

NAME OF FIRM:_							ГЕLЕРНО	NE No				
NAME OF OWNER	₹:						FAX NO					
MAILING ADDRE	SS:						CITY:					
STATE:	STATE:COUNTY:							ZIP CODE:				
PLEASE COMPLET	TE THE FO	OLLOWIN	G INFOR	MATION								
WHAT TYPE OF B	USINESS V	WOULD Y	OUR COM	MPANY BE	ENGAGI	ed in witi	H THE CI	ΓY OF ATL	ANTA?			
IS YOUR COMPAN	IY AN AF	FILIATE O	R DIVISIO	ON OF A P.	ARENT C	COMPANY	?					
IF YOUR COMPAN MUST BE COMPLE HAS YOUR COMP	ETED FOR ANY PRE	THE PAR	ENT CON	MPANY AS D AN EEO	WELL AS	S THE ATL	ANTA AR	REA DIVISIO	ON.	RM		
PLEASE LIST THE	NUMBE	R OF EMP	LOYEES 1	IN EACH C	CATEGOI	RY						
		gement/ icials	Professionals Arch, Engineers, etc		Supervisors		Office/Clerical/Sal es		Craftsmen/Labore rs			
	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female		
Black												
White												
Asian American												
Native American												
Hispanic												
Other												
TOTAL												
I CERTIFY THAT A				N THIS CO	ONTRACT	Γ EMPLOY	MENT RI	EPORT FOI	RM ARE			